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# **“To Be or Not to Be in a Good Shape”: Diagnostic and Clinical Value of Nuclear Shape Irregularities in Thyroid and Breast Cancer**

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## **Abstract**

Variation in both nuclear shape and size (“pleomorphism”), coupled with changes in chromatin amount and distribution, remains the basic criteria for microscopy in a cytologic diagnosis of cancer. The biological determinants of nuclear shape irregularities are not clarified, so, rather than on the genesis of nuclear irregularities, we here focus our attention on a descriptive analysis of nuclear pleomorphism.

We keep in mind that evaluation of nuclear shape as currently practiced in routine preparations is improper because it is indirectly based on the distribution of DNA as revealed by the affinity for basic dyes. Therefore, over the last years we have been using as criteria morphological features of nuclei of thyroid and breast carcinomas as determined by immunofluorescence, in situ hybridization, and 3D reconstruction. We have translated this approach to routine diagnostic pathology on tissue sections by employing immunoperoxidase staining for emerin. Direct detection of nuclear envelope irregularities by tagging nuclear membrane proteins such as lamin B and emerin has resulted in a more objective definition of the shape of the nucleus. In this review we discuss in detail methodological issues as well as diagnostic and prognostic implications provided by decoration/staining of the nuclear envelope in both thyroid and breast cancer, thus demonstrating how much it matters “to be in the right shape” when dealing with pathological diagnosis of cancer.

**Keywords** Nuclei • Pleomorphism • Papillary carcinoma • Breast cancer • 3D

## **Abbreviations**

**FISH** Fluorescence in situ hybridization

**H&E** Hematoxylin and eosin

**NE** Nuclear envelope

**PTC** Papillary thyroid carcinoma

**PDC** Poorly differentiated carcinoma

**NEP** Nuclear envelope pleomorphism

## Introduction

Irregularity of nuclear shape and an increased nuclear-cytoplasmic ratio (also called karyoplasmic ratio) characterize most, though not all, neoplastic conditions. In fact, we can roughly consider, as far as nuclear shape in cancer is concerned, three types of events. In some tumors, nuclei are roundish, with a smooth nuclear membrane not dissimilar from the corresponding normal epithelium. However, in the vast majority of cancers most nuclei are pleomorphic, as defined by the presence of irregularities in both nuclear shape and size coupled with changes in chromatin amount and distribution within the nucleus [ 1 ]. Such features remain the basic microscopy criteria for a cytologic diagnosis of cancer: indeed, indentations, undulations, and folds of the nuclear membrane, as originally reported by ultrastructural observations [ 2 ], occur early in neoplastic processes [ 3 ].

Finally, in some types of cancer, and notably in thyroid cancer, nuclear shape irregularity presents a typical and reproducible pattern, acquiring clear diagnostic significance. Typically, papillary thyroid carcinoma (PTC) is characterized by the presence of indentations, grooves (the so-called coffee-bean nuclei), pseudo- inclusions (or “Orphan-Annie-eyed” nuclei), and nuclear clearing. These characteristics derive from finely dispersed chromatin or deep and complex cytoplasmic longitudinal invaginations into the double-membrane nuclear envelope (NE), as demonstrated by electron microscopy [ 4 – 7 ]. The presence of these features is the only clue to the diagnosis of PTC, which alone represents almost 80 % of all thyroid carcinomas [ 8 ].

The Nuclear Envelope and Rationale for Its Use in Pathology Light microscopy appreciation of nuclear pleomorphism in cytopathology and histopathology is indirect, as it is currently based on staining of nucleic acids with basic dyes such as hematoxylin. Since peripheral chromatin is bound to the nuclear membrane, this provides crude evidence of nuclear shape. However, a method to decorate the NE could provide direct detection of the NE and its components.

Indeed, by highlighting NE-associated proteins we could provide a more objective and direct appreciation of nuclear shape and definitively reconstruct nuclear shape based on the distribution of NE proteins [ 1 ].

Detection, appreciation, and rendering of nuclear shape are, for intrinsic reasons, different in cytopathology and in histopathology. In fact, while in the cytological approach whole nuclei are available for investigations, in histological sections only nuclear segments are available, which makes images partial and seldom conclusive.

Moreover, in diagnostic cytology, the preservation of nuclear shape is heavily influenced by the technical procedure for preparations, since in liquid cytology, the shape of the nucleus is fully preserved. By contrast, smearing followed by cell drying is bound to produce a collapse of the nuclear shape leading to misdiagnosis.

With these caveats in mind, the following approaches have been followed by our group: A. Tagging of components of the nuclear membrane by immunofluorescence and immunoperoxidase staining (Figs. 1 , 2 , and 3 ) B. Immunofluorescence decoration of the nuclear membrane, associated with gene labeling by fluorescence in situ hybridization (FISH) (Fig. 4 ) C. Confocal microscopy and image capture, followed by 3D reconstruction using specific software (e.g. Amira 3D Analysis Software for Life Sciences— <http://www.vsg3d.com/> ) (Figs. 4 , 5 , and 6 ) D. Image analysis using specific softwares (e.g. Image-Pro Plus, MediaCybernetics, <http://www.mediacy.com> , which is an image analysis software package for fluorescence imaging and for recording sequential images).

Following these procedures, we have been able to trace the distribution of the NE with immunofluorescence and immunoperoxidase procedures by using antibodies targeting lamin B and another NE marker, namely, emerin [ 9 ] (approaches A, B).

These two proteins label different structural components, since lamin B is located in the proteinaceous layer at the interface between the chromatin and the membrane, while emerin is a transmembrane protein of the inner nuclear membrane [ 9 ]. We also obtained a proper 3D reconstruction of the nuclear shape (approaches B, C).

Confocal microscopy analysis allows the creation of a stack of images along the z-axis that can be uploaded into dedicated software for advanced 3D visualization and volume modeling. The nuclear outline is obtained after segmentation of sequential images of nuclear sections [ 10 ]. The segmented areas are then employed to generate 3D polygonal surface models using macros in the dedicated software (approaches A, B, C). An alternative procedure to confocal microscopy for generating sharp images from tissue specimens is provided by deconvolution technology (approach D). Briefly, immunofluorescent preparations are examined typically with a wide-field fluorescence microscope, equipped with either a motorized stage or a piezo focus lens positioner, a camera, and a dedicated software that allows 3D image stacks to be recorded. Subsequent deconvolution of the image stacks improves the clarity of images by applying an algorithm that uses pixel information in the adjacent sections to remove out-of-focus light [ 11 , 12 ]. With confocal microscopy a single nucleus can be observed at different and sequential cutting planes, and a 3D

reconstruction can be obtained by adding each section to build up the entire nuclear volume. Similarly, after removal the out-of-focus light by deconvolution, the individual sections can be reconstructed to obtain models.

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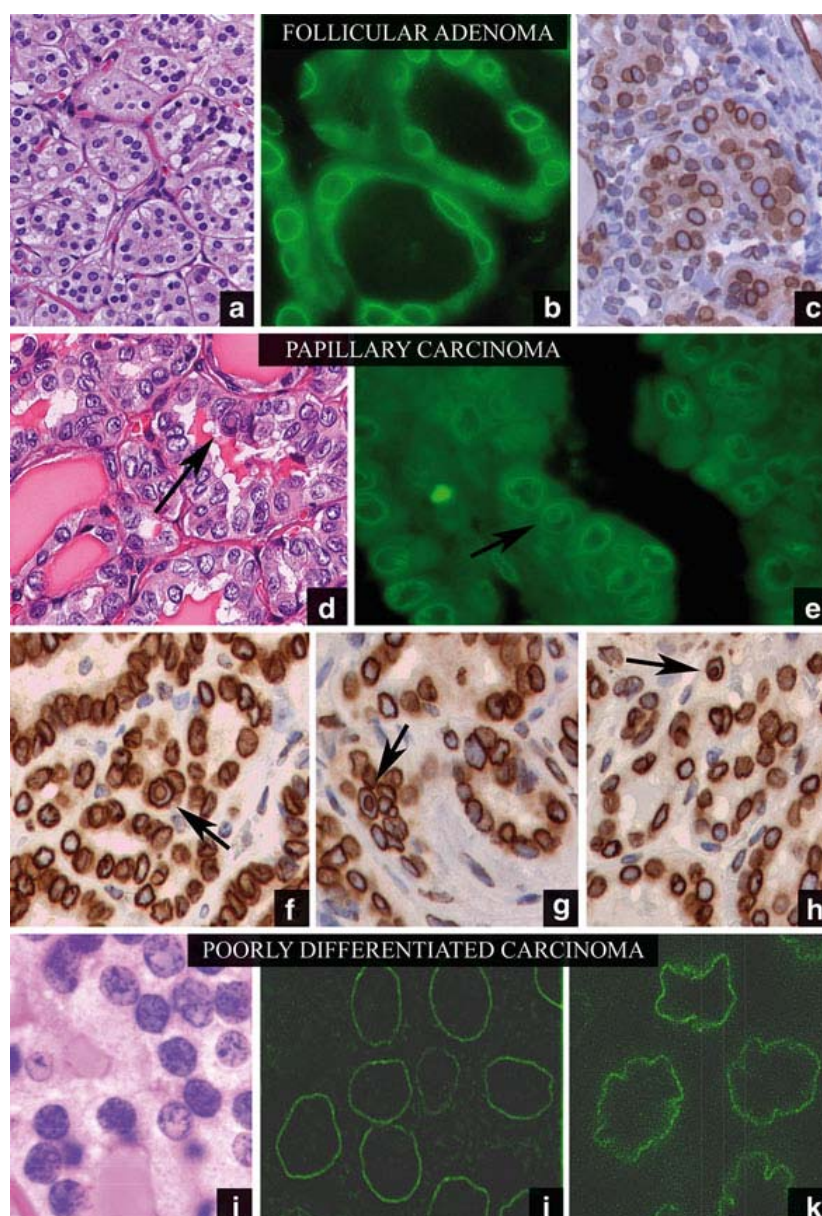




Fig. 1 How emerlin staining in both immunofluorescence and immunoperoxidase highlights nuclear shape in a spectrum of thyroid lesions. Panels ( a – c ) are a follicular adenoma stained in various ways. The presence of round and regular nuclei is evident. Parallel sections were stained with ( a ) hematoxylin and eosin (H&E), ( b ) immunofluorescence for emerlin, and ( c ) immunoperoxidase for emerlin. Panels ( d – h ) are an example of papillary thyroid carcinoma showing NE irregularities. ( d ) An H&E stained section of a thyroid proliferation with irregular nuclei and scarce pseudo-inclusions ( arrow ). ( e ) The irregularities become extremely evident with immunofluorescence for emerlin. ( f – h ) Similarly immunoperoxidase staining for emerlin reveals the presence of several pseudo-inclusions by marking nuclear shape and highlighting its foldings ( arrows in e – h : evident and widespread nuclear pseudoinclusions). Panels ( i – k ) are a case of poorly differentiated carcinoma. ( i ) H&E staining reveals nuclei to look quite regular with only scarce irregularities of the nuclear contours. ( j ) Immunofluorescence staining for emerlin reveals nuclei that can look quite regular (as in follicular lesions). ( k ) However, in some cells this staining reveals the so-called star-shaped or raisin-like features

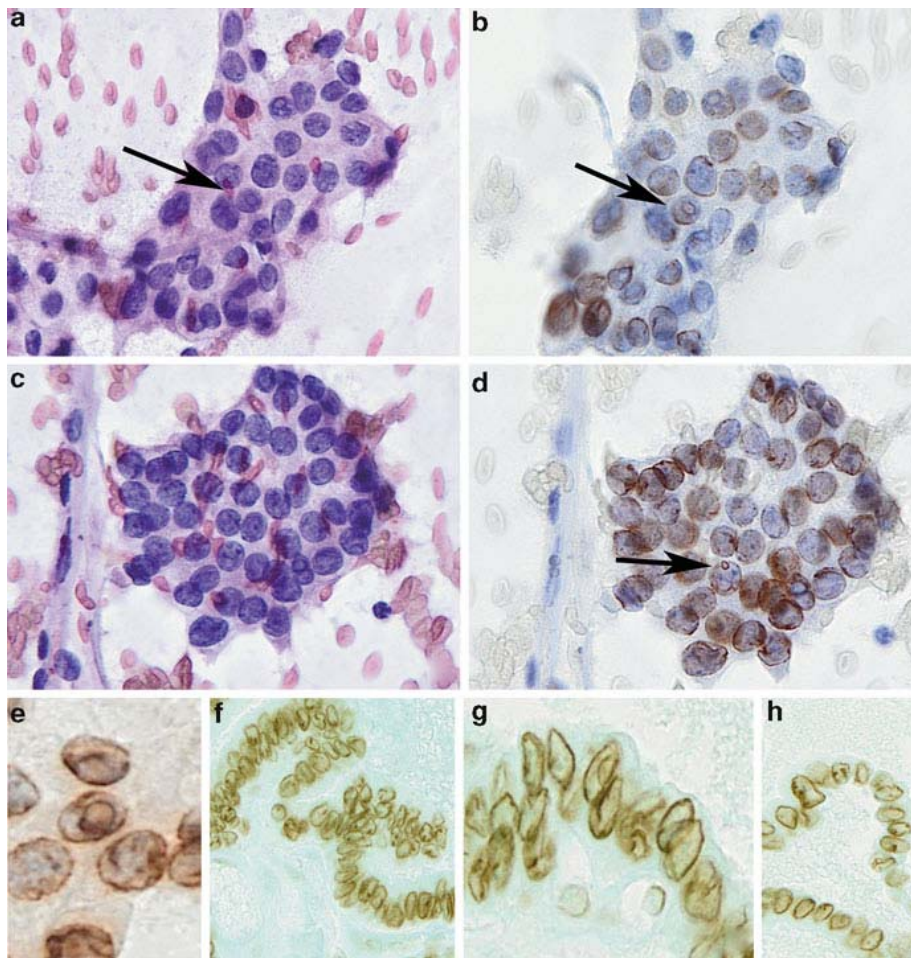


Fig. 2 Emerlin staining in cytological specimens of papillary thyroid carcinoma. ( a – d ) Cases of papillary thyroid carcinoma (PTC) in alcohol-fixed smears, ( e ) with Thin Prep, and panels ( f – h ) are cell blocks obtained from fine needle aspirations on thyroid nodules. In smears ( a , c ) cells are stained with hematoxylin and eosin (H&E), while ( b , d ) are the same fields and nuclei stained with immunoperoxidase for emerlin. Direct comparison of the same fields reveals the superior ability of emerlin staining to highlight diagnostic nuclear features, such as nuclear pseudo-inclusions (arrows in a , b ), even of very small size ( arrow in d ), grooves, and crescent-like figures. ( e ) On Thin Prep preparations, pseudo-inclusions are evident. ( f – h ) The emerlin-stained sections obtained from cell block highlight other features typical of PTC, such as the garland-like appearance ( f ) and deep irregularities of nuclear shape ( g , h )

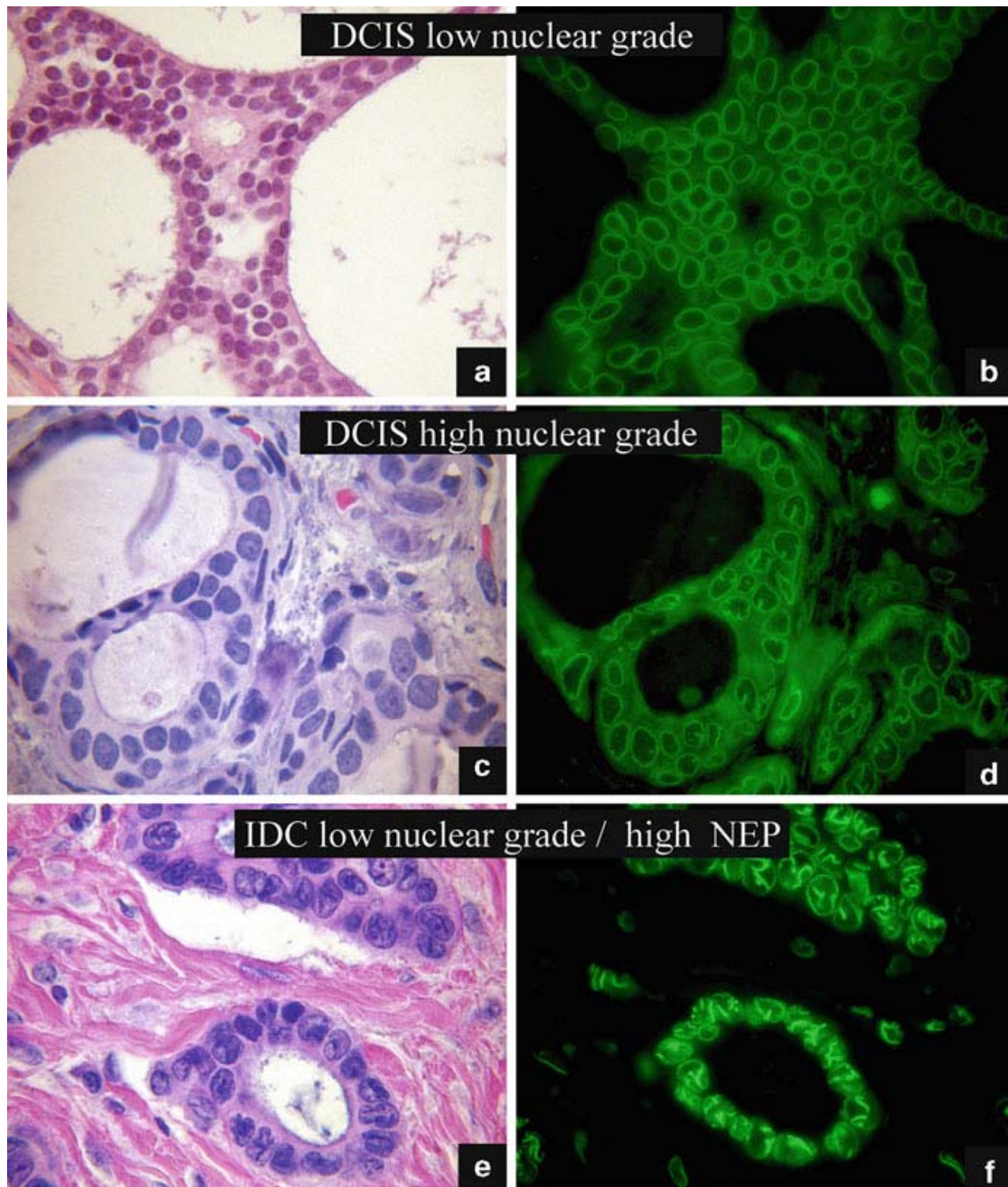


Fig. 3 Micrographs depicting different scenarios in the evaluation of nuclear pleomorphism in breast cancer pathology. Ductal carcinoma in situ (DCIS) of low nuclear grade ( a , b ) shows a regular lining of the nuclear envelope by immunofluorescence for emerin ( b ). ( c , d ) Immunofluorescence for emerin best shows fine irregularities of the nuclei in ductal carcinoma in situ of high nuclear grade. ( e , f ) Finally, an example of infiltrating ductal carcinoma (IDC) of low histological grade shows high-grade nuclear envelope pleomorphism (NEP), as best highlighted by immunofluorescence for emerin



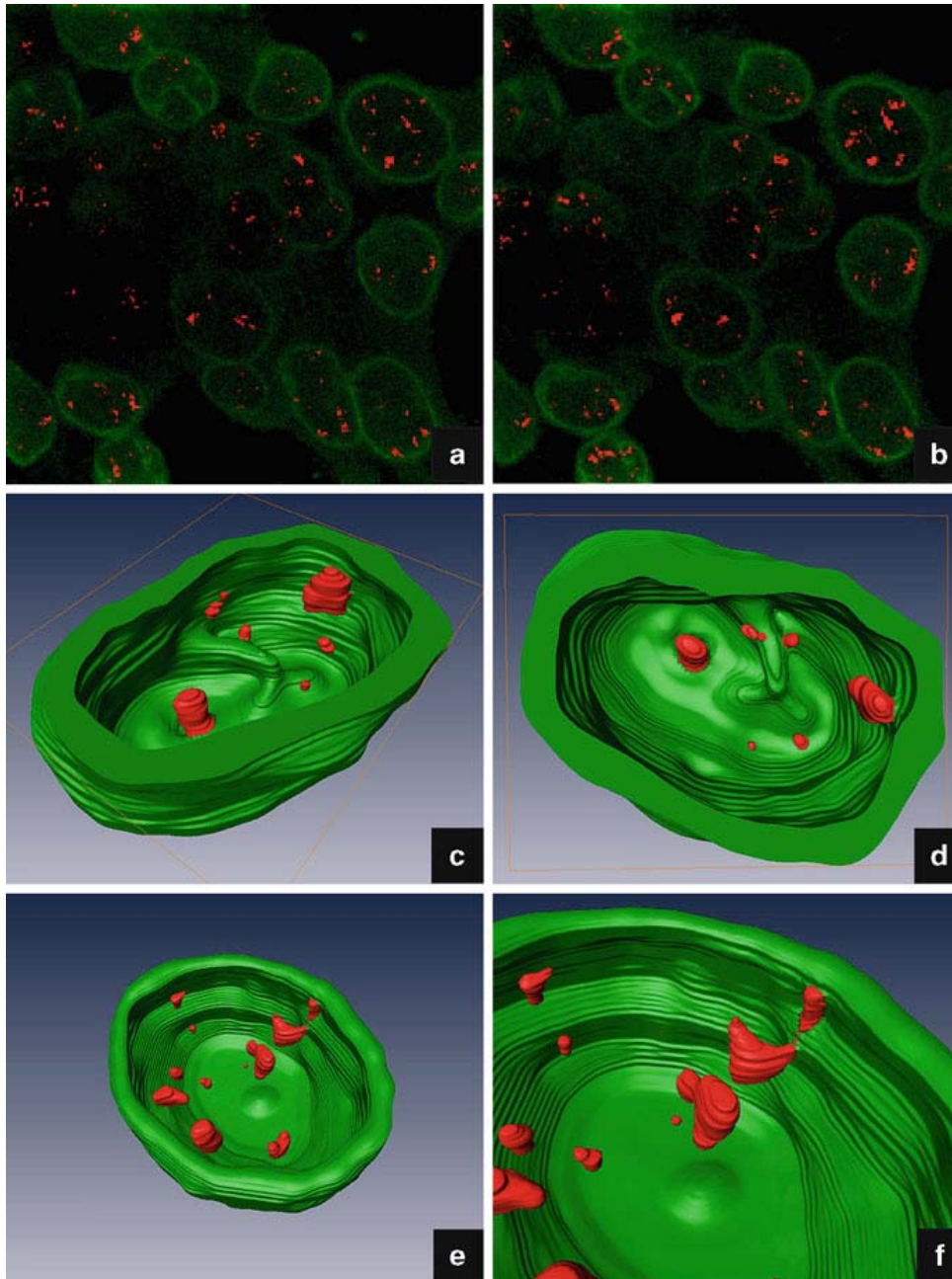


Fig. 4 3D reconstruction of nuclei with visualization of HER2 gene. ( a , b ) Immunofluorescence for lamin B ( green ) is performed together with fluorescence in situ hybridization (FISH) for the HER2 gene ( red signals) in BT-474 cells ( HER2 amplified, as exemplified by the gene clusters). ( c – f ) 3D reconstruction of these nuclei shows the relationship between HER2 gene clusters and nuclear envelope



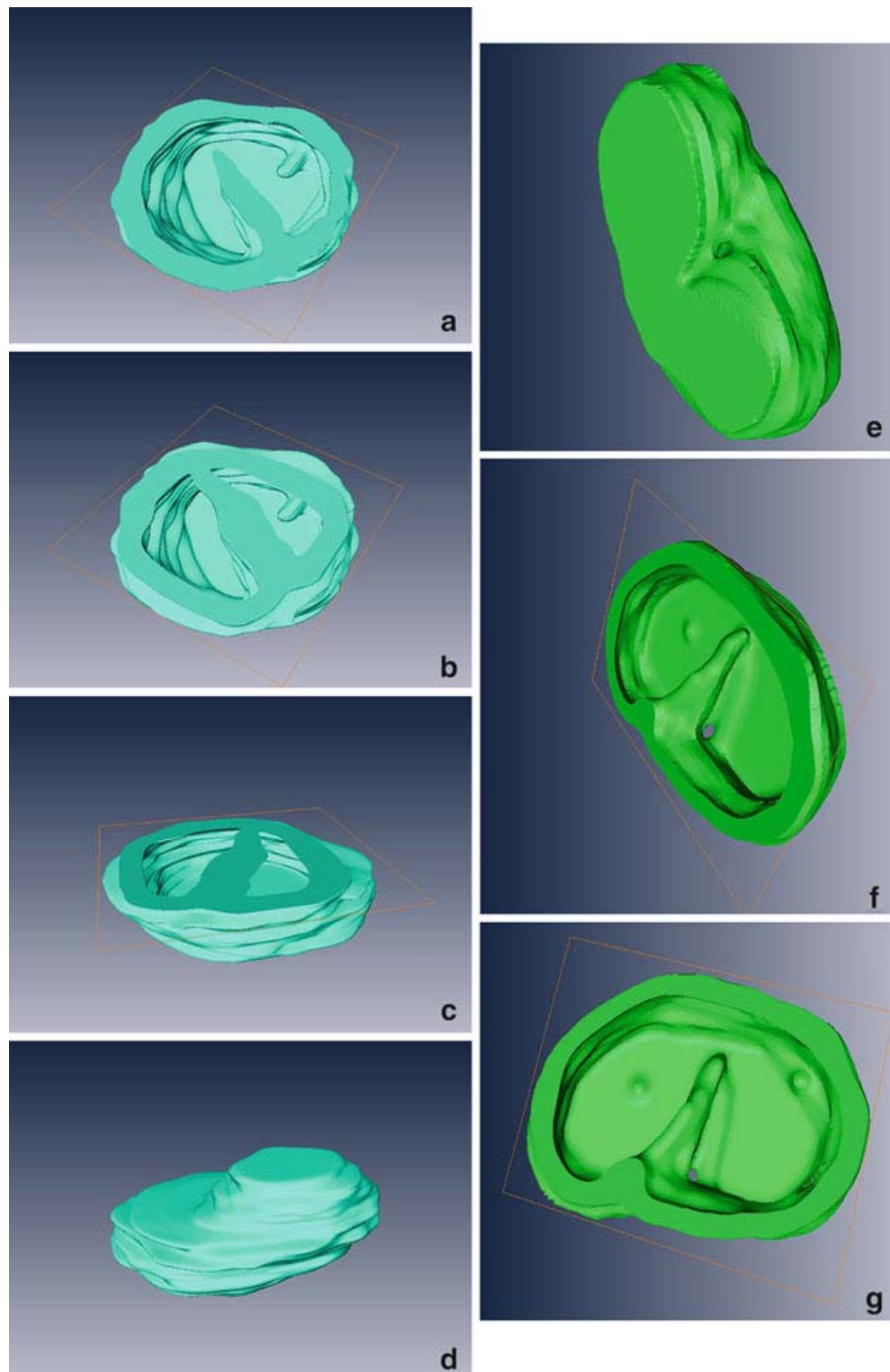


Fig. 5 3D reconstruction of PTC nuclei. Panels ( a – d ) are images obtained from sequential cutting planes of a single papillary thyroid carcinoma (PTC) nucleus, while images from ( e – g ) are different perspectives of a 3D reconstruction of another example of PTC nucleus. Immunofluorescence for emerin was performed on sequential sections of nuclei from PTC cell lines, and a 3D reconstruction was obtained using software Amira (Amira 3D Analysis Software for Life Sciences— <http://www.vsg3d.com>). The models of nuclear shape here shown revealed the presence of irregularities of the nuclear membrane with foldings and invaginations, which corresponds to the so-called coffee bean (or grooves) on traditional H&E-stained nuclei

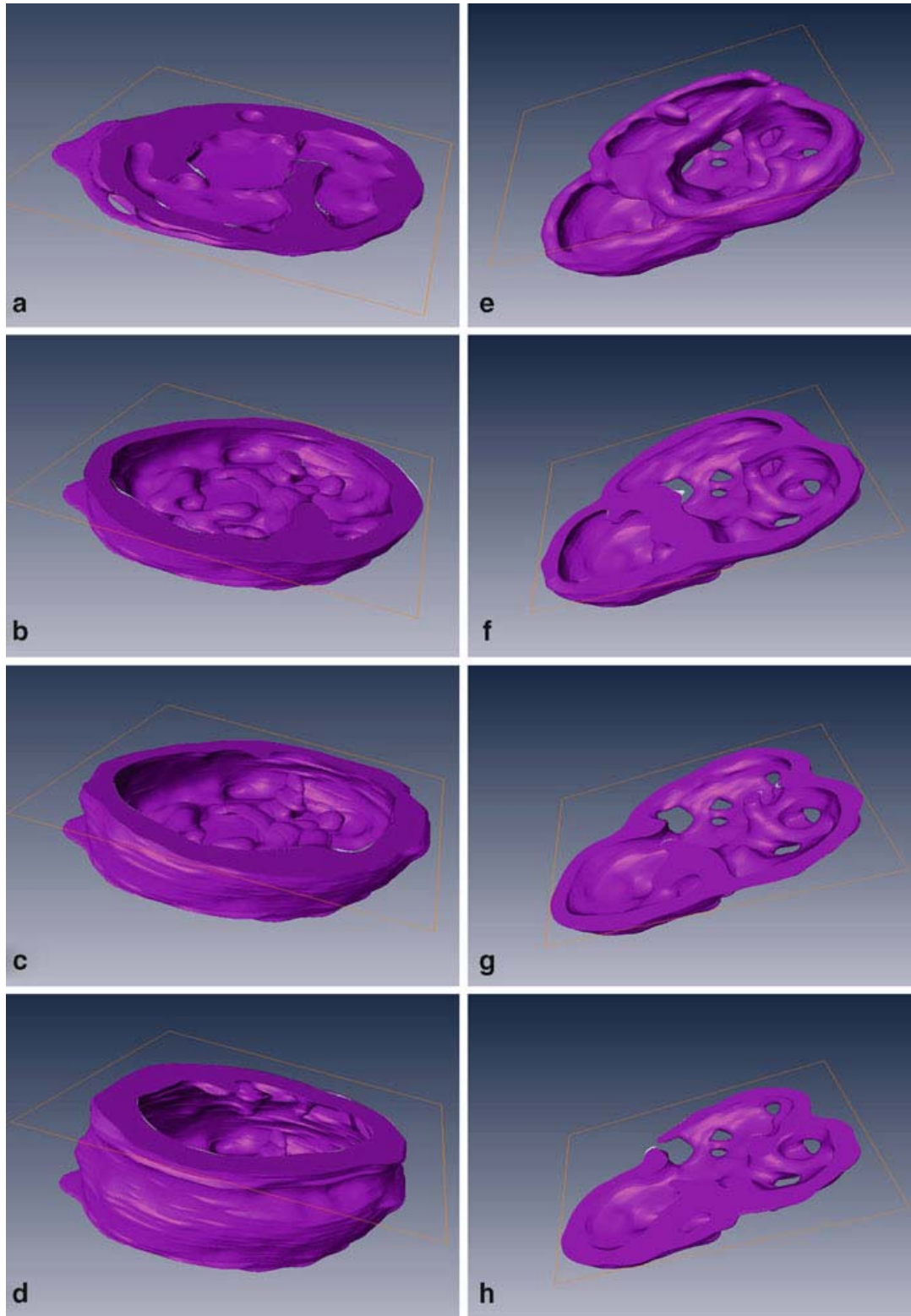


Fig. 6 3D reconstruction of breast cancer nuclei. Panels ( a – d ) and ( e – h ) are images obtained from sequential cutting planes of two different nuclei of breast cancer. Immunofluorescence for lamin B was performed on sequential sections of nuclei from breast cancer cells (BT-474). The software Amira (Amira 3D Analysis Software for Life Sciences—<http://www.vsg3d.com>) was used to obtain a 3D reconstruction. The 3D models highlight irregularities of nuclear contour and several intranuclear tubules

## **Diagnostic and Clinical Impact of Nuclear Shape in PTC**

Over the years, we have focused our attention on nuclear pleomorphisms and alterations in shape (dysmorphisms) that occur in cancers and specifically in thyroid and breast carcinomas, two areas in which nuclear pleomorphism holds high biological significance and great diagnostic and prognostic impact. In breast carcinoma the nuclear shape varies according to the histological subtype and grade, involving also a prognostic significance. In thyroid carcinomas the nuclear shape is instead paradigmatic and diagnostic of specific types of cancer.

### ***Nuclear Shape in PTC and PDC Versus Other Thyroid Pathologies***

A study conducted on cell lines derived from PTC and from follicular carcinomas, as well as on histological sections and cytological fine needle aspiration samples, showed an intense and diffuse staining for lamin B along the nuclear membrane irrespective of the tumor type [ 10 ].

Remarkable nuclear deformities, infolding, and “tubelike” invaginations were evident in the vast majority of PTC nuclei, and the typical intranuclear pseudoinclusions were also lined by lamin B. Moreover, PTC nuclei were larger and much more irregular than the corresponding control cases of follicular tumors (Fig. 1 ). The invaginations and indentations of PTC nuclei, as revealed by the sequential reconstructions obtained with the use of the confocal microscope, appeared to penetrate into the nucleus to a variable degree from a minimal fraction up to reach an entire penetration, which, as a consequence, acquires a “donut-like” configuration. Serial sections showed that “pouches” or “tunnels” that were seen in 3D reconstructions (Fig. 5 ) corresponded to the pseudo-inclusions typical of PTC nuclei and appeared to be always connected to the cell cytoplasm and lined by intact nuclear membrane.

In control cases of follicular tumors, nuclei were smaller than in PTC, with a round or an oval shape and a regular and smooth contour. Confocal microscope and 3D-reconstruction images highlighted the presence of only slight and occasional deformities. It can be thus concluded that the typical irregularities of PTC nuclei may appear at the light microscopy level alternatively as grooves or pseudo-inclusions according to the viewpoint from which the cell is explored, but they are all facets of the same phenomenon of large-scale invaginations with reciprocal cytoplasm bulging.

Moreover, the study with confocal microscopy and 3D reconstructions acquired diagnostic usefulness, since it opened the possibility to apply knowledge on nuclear shape and volume to the so-called grey area of thyroid pathology, which comprises follicular patterned lesions with optically clear nuclei but without clear-cut features of PTC. Irregularly shaped nuclei in fact can also be found in thyroiditis, hyperplastic lesions, goiter with degenerative changes, oxyphilic tumors or be the consequence of the fine needle aspiration procedure or the fixation artefacts [ 13 ].

These benign lesions may have nuclear irregularities that mimic those of PTC: besides clear nuclei, occasional grooves can be appreciated and widespread alterations of nuclear contour are often present. What distinguishes these lesions from PTC is a combination of several factors, both histological (architecture, presence of vascular and/or capsular invasion) and cytological (extent, frequency, and intensity of nuclear irregularities, presence of nuclear pseudo-inclusions), but traditional staining may not be sufficient to fully appreciate these differences and distinction may be challenging. For this reason, the use of immunohistochemical staining to improve detection of nuclear shape might be of help in this differential diagnosis.

In order to apply this method of nuclear stain to routine histological and cytological diagnosis, immunohistochemical staining with anti-emerin antibodies was evaluated [ 9 , 14 , 15 ]. Emerin is a

protein of the inner nuclear membrane which appears to interact with the lamina and chromatin; it is a serine-rich nuclear membrane protein involved in mediating membrane anchorage to the cytoskeleton [ 16 ]. Fischer et al. [ 17 ] demonstrated that its expression is not reduced or abolished in cytoplasmic pseudo-inclusions or grooves of PTC, but it simply conforms to nuclear irregularities and foldings. Thus, cases of PTC, follicular adenoma, follicular carcinoma, Hashimoto's thyroiditis, goiter, Graves disease, and normal thyroid tissues were stained with anti-emerin antibodies.

In PTC, emerin staining allowed an easy identification of all previously described nuclear irregularities (invaginations, pseudo-inclusions, grooves, crescent-like nuclei, and deep-stellate nuclear shape) but also a peculiar pattern never described before, which is the presence of minute curls along the periphery of the nucleus, leading to a garland-like pattern (Figs. 1 and 2 ); moreover, when directly comparing the same nuclei stained with immunofluorescence for emerin and subsequently restained with hematoxylin and eosin (H&E), it was evident that only some of the grooves seen with immunofluorescence were appreciable with H&E as well. For this reason, emerin staining was tested on cases of follicular variant of PTC (FVPTC). This controversial variant is in fact characterized by follicles lined by cells that lack the typical features of PTC: nuclei are dark, and irregularities of shape are often borderline. Grooves are scarce and pseudo-inclusions rare or totally absent [ 6 , 18 , 19 ]. For this reason, the diagnosis of FVPTC is traditionally affected by a high rate of inter-observer discordance, even among the so-called expert thyroid pathologists [ 20 – 22 ]. The distinction between FVPTC on one side and benign lesions on the other (follicular adenoma, goiter, nodule in the context of thyroiditis) is based on the shape of the nucleus, presenting grooves and invaginations in the former while roundish in the latter. The differential diagnosis is important since it carries a profound therapeutic and prognostic impact, but it is sometimes difficult and problematic, because of improper preservation of the nuclear shape in histological sections.

After emerin staining of cases of FVPTC, invaginations of the nuclear membrane were more evident than on H&E slides, and emerin tracing of the envelope allowed the recognition of some pseudo-inclusions that were “hidden” by the presence of dark nuclei in H&E preparations.

Staining for emerin shows distinct and different patterns between PTC nuclei and other conditions, because it reveals more clearly nuclear irregularities in cases of PTC, while it confirms a regular nuclear profile in normal thyroid gland and other lesions (follicular lesions, goiter, thyroiditis). In fact, we have demonstrated that in thyroid lesions other than PTC, the vast majority of cells have smooth and round nuclei and only occasional cells may have irregularities of shape and invaginations similar to PTC nuclei [ 9 ]. Of note, such irregularities are only occasional and never reach the degree so typical and diagnostic of PTC.

The diagnosis of poorly differentiated carcinoma (PDC) is based on a diagnostic algorithm involving the presence of a solid, trabecular, or insular histological pattern as well as of necrosis and increased rate of mitoses [ 23 ]. However, a role in the diagnosis is played by nuclei as well. In PDC, nuclei are small (if compared with PTC nuclei), round, and hyperchromatic and lack typical clear-cut features of PTC (pseudo-inclusions, grooves, crescent-like features). Nuclei in PDC appear as “convoluted” because of the presence of an irregular (“convoluted” or “raisin-like”) contour membrane. Only occasional grooves are observed, and no ground-glass appearance or pseudo-inclusions. By decorating/staining the NE with anti-emerin antibodies, PDC-convoluted or raisin-like nuclei showed humps and plicae, thus giving the appearance of a star-shaped structure (Fig. 1 ).



## Thyroid Cytology

Tracing the nuclear membrane by emerin decoration/staining could improve the preoperative cytological diagnosis of thyroid carcinomas.

In particular, one of the main issues in thyroid cytopathology is the so-called indeterminate category, which includes cases where the lesion cannot be clearly defined as benign or malignant based on morphology alone; these cases are collectively grouped into the III and IV categories according to the Bethesda System for reporting Thyroid Cytopathology [ 24 ]. The categories III and IV (see Table 1a ) are considered a sort of “grey zone” of thyroid cytology, and several authors have discussed the issue of “indeterminate” thyroid fine needle aspiration diagnosis. Efforts to detect cytological features or ancillary procedures that could distinguish between benign and malignant follicular patterned lesions (in need of surgical removal) have been the subject of several studies, but none was found to have absolute value or reproducibility [ 25 – 31 ].

Our results showed that emerin correctly traced the nuclear membrane in all types of cytological specimens (smear, cell block, Thin Prep) (see Table 1b ). Smears and Thin Preps from cases with a definite cytological diagnosis of malignancy (category VI according to Bethesda System) [ 24 ] showed evident nuclear irregularities with foldings, grooves, and pseudo-inclusions. Comparison on the same nuclei of the H&E and immunoperoxidase slides (by recording H&E cytological images, demounting, and then restaining for emerin) (Fig. 2 ) clearly demonstrates the increased ability to define the nuclear membrane and its irregularities.

**Table 1**

Categories for reporting thyroid cytopathology (Bethesda System) (a)		
Category		Risk of
I	Nondiagnostic or Unsatisfactory	1-
II	Benign	0-3%
III	Atypia of Undetermined Significance or Follicular Lesion of Undetermined Significance	5-15%
IV	Follicular Neoplasm or Suspicious for a Follicular Neoplasm	15-30%
V	Suspicious for Malignancy	60-
VI	Malignant	97-99%
Cytology processing-tissue methods (b)		
<b>Smear</b>	Specimens from FNA are immediately spread thinly on a microscope slide, air-dried or alcohol- fixed and stained for examination.	
<b>Thin Prep</b>	Specimens from FNA are put in a special fluid collection system and the slides for cytologic examination are filtered out in one-cell-thick layers on a slide.	
<b>Cell-block</b>	Specimens from FNA are directly fixed in alcohol, centrifugated, paraffin- embedded, thus obtaining cell-blocks from which 3-5 µm sections can be cut.	

FNA = fine needle aspiration

This approach proved particularly useful in the definition of unclear and problematic cases classified as III/IV categories: by highlighting and amplifying nuclear irregularities (e.g., invaginations, true inclusions, grooves), it helped in identifying, among all the indeterminate cases, the malignant lesions, which, after surgery, proved to be PTC or FVPTC. Those nuclear

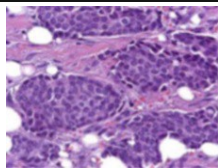
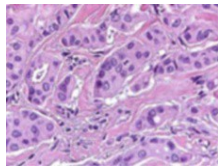
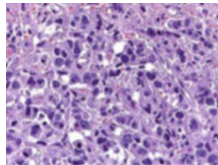
irregularities which were barely perceivable or borderline on H&E preparations proved instead more evident with emerin staining, and this helped in raising the suspicion of a malignant lesion.

In conclusion, emerin staining proved a useful tool to correctly identify PTC nuclei and to discriminate FVPTC cases among lesions classified as III/IV categories according to the Bethesda System [ 24 ]. It can be performed on smears, even after H&E staining, thus allowing for the accurate and straightforward identification of nuclear changes characteristic of PTC even in fine needle aspiration samples with very scant cellularity (number of cells obtained by FNA).

### Diagnostic and Clinical Impact of Nuclear Shape in Breast Cancer

In breast cancer diagnostic pathology it is well known that irregularities in nuclear shape as observed by H&E staining play a crucial role in the diagnosis of both in situ and infiltrative lesions. Indeed, in situ carcinomas are classified using a threetier system (Table 2 ) into low-, intermediate-, and high-grade lesions based on the degree of nuclear pleomorphism. Nuclear pleomorphism represents one of just three components to be evaluated in the grading system of invasive breast carcinomas, the others being the number of mitoses and architectural growth pattern [ 32 ]. Histological grade (Table 2 ) holds a universally acknowledged robust prognostic value [ 32 ]; however, regrettably intra- and inter-pathologist agreement on grading in breast cancer is reported between poor and moderate [ 33 , 34 ]. Indeed, the interobserver agreement ranges between 50 and 85 %, and about 40–50 % of breast cancers are diagnosed as grade 2 cancers [ 32 , 33 ].

**Table 2** Schematic representation of how histological grade is performed in breast cancer diagnostic pathology

<i>Growth pattern</i>	<i>Mitotic count* (applied to HPF diameter)</i>	<i>Nuclear pleomorphism</i>	
>75% of tubule formation <b>SCORE 1</b>	1-4 mitoses <b>SCORE 1</b>	Small and roundish nuclei with uniform chromatin <b>SCORE 1</b>	
10-75% of tubule formation <b>SCORE 2</b>	5-11 mitoses <b>SCORE 2</b>	Variable shape and size, vesiculous chromatin, nucleoli present <b>SCORE 2</b>	
<10% of tubule formation <b>SCORE 3</b>	>=12 mitoses <b>SCORE 3</b>	High variability in shape and size, prominent nucleoli <b>SCORE 3</b>	

#### TOTAL SCORE



Score 3, 4, 5: **G1**

Score 6, 7: **G2**

Score 8, 9: **G3**

Three parameters are assessed: evaluation of tubule formation, number of mitosis, and nuclear pleomorphism (the latter corresponding to nuclear grade) Scores attributed to single parameters are summed up, and the final score labels the lesion as G1 (low grade), G2 (intermediate grade), or G3 (high grade) \* Mitotic count depends on the diameter of the

microscopic field of the microscope used to analyze the tissue specimen, in the figure we reported values corresponding to 0.46 mm

With respect to nuclear grade, the seminal work by Elston and Ellis <sup>32</sup> grades nuclear pleomorphism by using three score values. These score values are given by comparing tumor nuclei with nuclei of normal breast, and at least four features are considered: size, shape, uniformity of nuclear chromatin, and nucleoli. Score 1 nuclei are little larger but very similar to normal cell nuclei, while score 3 nuclei show marked variation in size and a “bizarre” morphology. Yet, as noted above with PTC, light microscopy appreciation of foldings and indentations of the nuclear membrane is rough and indirect, being based on the staining of membrane-bound chromatin. Based on these premises it is not surprising that systematic differences between pathologists in scoring nuclear pleomorphism in breast cancer potentially contribute to differences in allocating cases to the correct grade, and the observed discrepancies confirm the need for improved nuclear grading criteria <sup>35, 36</sup>.

Despite their considerable biological interest, the intranuclear tubular extensions of the NE have not gained much attention in pathology. We have therefore endeavoured to investigate whether direct observation of the NE could provide a more objective and direct appreciation of nuclear pleomorphism of breast cancer cells with the final aim to ameliorate definition of prognosis in breast cancer diagnostic pathology.

First, we have carried out a project in which various cell lines (primary cultures of normal mammary epithelium and established breast cancer cell lines) in addition to isolated cells and tissue sections from primary human breast cancer of different grades and stages were examined. Finally, the degree of pleomorphism of the NE was extended to other pathological parameters (histological grade, number of metastatic lymph nodes, vascular invasion, staging) in a series of 273 breast cancers.

Results with in vitro-immortalized cultures showed that nuclei of “normal” breast epithelium when put into 2D cultures displayed a uniformly smooth silhouette, while lamin B and emerin patterns in most breast cancer cell lines resulted to build up, upon 3D reconstruction, a complex scaffold of intranuclear tubular structures (Fig. 6). As for tumor cells in human surgical samples, we showed that high nuclear pleomorphism, as defined by staining of the NE proteins emerin and lamin (Fig. 3), may potentially recognize within the histologically low-grade cancer group (G1) and in tumors with low proliferation activity, those more prone to metastasize <sup>37</sup>.

Basically, from a practical standpoint, decoration/staining of the NE may be regarded as a novel diagnostic and prognostic parameter that may complement information obtained by conventional cytohistological techniques, and it can be postulated that fine detection of the nuclear shape and pleomorphism of the NE represents a novel parameter of interest in pathological grading, holding also a potential impact for planning therapy in breast cancer. Although the significance of this complex scaffold of intranuclear tubular structures is presently unknown it can be hypothesized that irregularities and intranuclear tubules might be involved in or reactive to defects in the nuclear-cytoplasmic transport, reportedly a feature typical of cancer cells <sup>38</sup>.

As an additional remark, we have also investigated the possibility to visualize the spatial organization of gene signals with respect to the NE. This can be achieved by coupling immunofluorescence for lamins (or other NE proteins) and FISH for target genes. In particular, for breast cancer we have investigated HER2 gene amplification in BT-474 breast carcinoma cells (Fig. 4). HER2 gene amplification is found in about 15–20 % of all breast carcinomas and

represents the main mechanism driving HER2 activation in breast cancer, which has a negative prognostic impact<sup>33, 39</sup>. Proper documentation of the presence of HER2 gene amplification represents the crucial step to deliver a specific target therapy in breast carcinoma patients (the humanized antibody trastuzumab, i.e., Herceptin R)<sup>33</sup>. This is performed routinely by using an in situ technique, i.e., FISH, with specific probes directed against the target gene, on sections of human tissue samples. Usually a dual-color probe (one for the gene, the other for the centromere of the chromosome 17 (CEP17), the chromosome where HER2 maps to) is employed, and results can be scored either based on HER2 /CEP17 ratio (HER2/ CEP17 $\geq$ 2= amplification) or on the basis of the absolute numbers of the HER2 gene (amplification whenever HER2 > 6)<sup>39, 40</sup>. For the sake of detection of amplification, only numerical count of signals is performed and no attention is currently paid to the spatial organization of signals.

With our immune-FISH followed by 3D reconstruction we showed in HER2-amplified breast cancer cells a range of patterns in the spatial distribution of gene signals (both single and clustered) with respect to the NE, some being anchored to the NE and others haphazardly spaced within the nucleus. Implications of the relationship between amplified regions of the genome and anchorage to the NE are unknown at present, but it is generally thought that interactions demonstrated between NE proteins and epigenetic heterochromatin marks would correlate peripheral localization with silencing. Nonetheless, further experimental studies would be warranted to properly investigate the implications in terms of activation or inactivation of genes. Indeed, the spatial localization of chromatin within the mammalian nucleus has been shown to be important for several genomic processes<sup>41</sup>, including transcription<sup>42</sup>, RNA processing<sup>43</sup>, as well as DNA repair and recombination<sup>44</sup>. In addition, studies based on 3D-immuno-FISH suggest a key function for the inner nuclear membrane–lamina compartment in transcriptional silencing of large segments of the genome<sup>41</sup>. Finally, very recently it has been demonstrated that the yeast nuclear pore complex protein Nup170p interacts with regions of the genome that contain ribosomal protein and subtle omeric genes, where it functions as a repressor of transcription<sup>45</sup>. These results suggest that nuclear pore proteins are active participants in silencing and the formation of peripheral heterochromatin<sup>45</sup>.

## Conclusions

Variation in both nuclear shape and size (“pleomorphism”), coupled with changes in chromatin amount and distribution, remains the basic microscopy criteria for a cytologic diagnosis of cancer. The biological determinants of nuclear shape irregularities are not clarified. It has been suggested that alterations in nuclear shape might be related to genetic imbalances in cancer<sup>46</sup>, and Fischer<sup>17</sup> gave experimental evidence using in vitro models of PTC that induced gene mutations are associated with the structural features typical of this type of thyroid carcinoma that involve rearrangement of the NE and chromatin distribution<sup>16, 17, 47</sup>. On the other hand, some diseases characterized by genetically determined abnormalities in lamin proteins<sup>48, 49</sup> suggest that irregularities in nuclear shape are due to the abnormal farnesylation of lamin proteins, perhaps through interaction of the farnesylated lamins with the phospholipid bilayer<sup>50</sup>. This raises the possibility that both genetic and posttranslational events might be involved in the origin of nuclear shape abnormalities.

Indeed, small GTPases appear to represent a candidate for playing a central role in this process, since they are important in the nuclear envelope assembly<sup>51</sup> and are notoriously a key player in oncogenesis<sup>52, 53</sup>. Moreover, recent evidence has been presented<sup>54</sup> suggesting that prenylation of small GTPases is impaired in cancer cells.

Other reviews focus on possible mechanisms to generate nuclear shape abnormalities, but here we focus on using these diagnostically. Standard H&E staining cannot adequately distinguish fine abnormalities of the nuclear shape, as it is indirectly based on the distribution of DNA as revealed by the affinity for basic dyes.

A more objective definition of the shape of the nucleus can be provided by decoration/staining of the NE, followed by image capture and 3D reconstruction. We applied this approach to two areas of tumor pathology: thyroid and breast cancer. In the papillary type of thyroid cancer, most nuclei show a variation in shape so typical as to be paradigmatic and diagnostic, while in breast cancer



nuclear irregularities vary according to the subtype and the aggressiveness of cancer. For instance it is minimal in tubular carcinoma while marked in grade 3 cancers.

The technical approach presented here proved feasible on both isolated cells and tissue sections and ultimately provides a reproducible approach of diagnostic and clinical interest.

The pathological diagnosis of PTC is usually straightforward since the majority of cases of PTC are easy to diagnose on routine-stained preparations, with overt irregularities, such as grooves, pseudo-inclusions, and ground-glass appearance.

Although these nuclear changes help to define PTC, these features are only diagnostic when widespread and in combination. However, in some cases both in histology and cytology diagnosis of PTC can be challenging, and the classical microscope observation of PTC nuclei (based on nucleic acid staining with basic dyes, such as hematoxylin) is clearly insufficient to appreciate the complete spectrum of PTC nuclear irregularities.

By tracing in immunofluorescence and immunoperoxidase proteins of the NE (e.g., lamins, emerin), it is possible to obtain a clear, evident, and direct representation of nuclear shape, thus highlighting those microscopical features barely visible with H&E.

When shifting the attention from the “content” (chromatin) to the “container” (nuclear membrane), confocal microscopy and 3D reconstructions provided us models of nuclear structure in PTC cells, and emerin immunostaining on cytological and histological samples proved a feasible tool to improve diagnosis in “difficult” PTC cases.

In breast cancer, the presence of an extensive network of invaginated projections of the NE inside the nucleus, as revealed by tagging lamin B and emerin in immunofluorescence preparations, opens prospects of biological and diagnostic interest.

Intranuclear tubules are an interesting and intriguing phenomenon, possibly involved in or reactive to defects in the nuclear-cytoplasmic transport, reportedly a feature typical of cancer cells. In addition, this scaffold might also be a drug target since Lee et al.<sup>55</sup> already demonstrated a selective binding of doxorubicin to intranuclear tubules. Moreover, the combined 3D detection of the spatial distribution of genes and intranuclear invaginations, as exemplified in the present study, might provide a novel interpretation on active versus inactive genes. Indeed, other studies based on 3D-immuno-FISH seem to suggest the inner nuclear membrane–lamina compartment as a key player in transcriptional silencing of large segments of the genome<sup>41</sup>.

Finally, we gave evidence that immunofluorescence decoration/staining of the NE provides a reproducible and objective evaluation of nuclear shape irregularities associated with pleomorphism and provides prognostic information to parallel and enhance that provided by routine histological procedures.

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